Brockwell



Experienced insurance providers with an international appetite

Brockwell is a specialty insurance provider that offers financial lines insurance, with a particular focus on covering international W&I, tax, and contingent risk.

We offer clients the best features of a large insurance company - experience, global reach, financial cover up to £45m (or equivalent), and robust credit rating - in combination with the agility and flexibility of a smaller business.

Our team's market-leading experience means that we can provide bespoke solutions to clients for a variety of complex risks. There is certainty of execution with Brockwell - our underwriters have deployed £billions of risk capital to help clients mitigate risk across a wide range of risks types, jurisdictions, and sectors.

Whatever your need, Brockwell is a sophisticated, thoughtful, and nimble insurance partner. We will deliver a premium underwriting service to help clients achieve their commercial goals, whether that is by limiting liability, preventing leakage from an investment model, or offering peace of mind.

And if something does go wrong, we'll help you put it right. Our clients can be comfortable that behind their policy is awardwinning claims expertise. We are here to support you every step of the way during your transaction and afterwards.

For more information see our website: www.brockwellcapital.com

Why choose Brockwell? Team **Premium Service** Risk Capital

We offer certainty of execution. Our team has more than 50 years of combined transactional insurance experience and includes insurance, legal, tax, accounting, and economics professionals which allows us to understand and cover a broad range of risks.

Brockwell provides clients with a premium service by being proactive, agile, reliable, and responsive with the ability to meet exceptionally challenging deadlines. Each project is assigned a senior underwriter as a principle point of contact to ensure rapid decision-making and certainty of execution. And afterwards your senior underwriter will assist with the claims process

Meaningful insurance has to be backed by solid risk capital. Our Lloyd's risk capital is rated A+ by S&P and benefits from the "central fund" which means that if a Lloyd's insurer is unable to meet its financial obligations under a policy then Lloyd's can meet them instead.

Our clients operate internationally and so do we. We assist our clients supported by a global network of top-tier advisers who have deep sector knowledge. We will consider (and have experience with) a much broader range of jurisdictions than some other insurance providers, which means we can offer clients a consistent global insurance partner.

International

Our Appetite

From a W&I perspective we specialise in the mid-market private equity space (circa £100m-£600m enterprise value). Because our team has more than 50 years of combined transactional insurance experience we are familiar with a very broad range of sectors/ industries. This means that unlike some other underwriters we are comfortable with insuring risks in industries like pharmaceuticals, finance, gambling, or tobacco. Brockwell is also one of the few W&I providers that actively offers both sell-side insurance and synthetic W&I.

Our tax and contingent practices complement our M&A insurance offering, but extend beyond M&A to wider risks relating to institutional investments (e.g. fund or investment structures).

As a result of regularly supporting management sellers in a private equity context, we have developed our tax offering to include cover for personal tax risks relating to high-net-worth individuals, management teams, as well as investment managers. We will consider a broad range of contingent risks, including both contentious and non-contentious legal risks.

Geographically, we are particularly focussed on the United Kingdom, the Nordics, South Africa, and Australasia, but we are also very active in Western Europe, CEE, and the Baltics. However, we have a global appetite and are supported by a strong network of top-tier local counsel to help us get deals done worldwide.



| Insurer | Rating | |
|--|--------|---------|
| | S&P | AM Best |
| Beazley Syndicates 5623, 2623 and 623 at Lloyd's www.beazley.com | A+ | Α |
| Argenta Syndicate 2121 at Lloyd's www.argentagroup.com | A+ | Α |
| Chaucer Syndicate 1084 at Lloyd's www.chaucerplc.com | A+ | Α |
| Tokio Marine Kiln Syndicate 510 at Lloyd's www.tokiamarinekiln.com | A+ | Α |
| ArgoGlobal Syndicate 1200 at Lloyd's www.argolimited.com | A+ | Α |
| Convex Insurance www.convexin.com | A- | A- |

Our Risk Capital

Brockwell is a managing general agent (MGA) meaning that we deploy risk capital on behalf of certain insurers (see left). We are the first MGA to be treated as a Lloyd's syndicate and are registered with syndicate numbers 9764 (UK and Rest of World) and 9234 (EEA).

Claims

We are here to support you every step of the way during your transaction and afterwards.

Brockwell's underwriters have been providing and placing transactional insurance since 2004, which means that clients will experience a high-quality claims process with underwriters who are familiar with claims. Our business partner Beazley have award-winning dedicated transactional risk claims expertise and will lead on claims on behalf of our other risk capital providers, which means clients will deal with a single, expert decision-maker.

The creditworthiness of our risk capital, provided by large insurers (mainly from Lloyd's, which is famous for meeting claims), also means that our clients can have faith that covered claims will be paid.

Your Underwriters



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